PART I - KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals)

ZUARI FINSERV LIMITED

Registered Office : Jai Kisaan Bhawan, Zuarinagar, Goa - 403726
Corporate Office : Plot No. 2, Zamrudpur Community Centre, Kailash Colony Extension, New Delhi - 110048
Email: wecare@adventz.zuarimoney.com . Website: www.zuarimoney.com

Please fill this form in ENGLISH and in BLOCK LETTERS

Photograph

Please affix your recent passport size photograph

Signature Across photograph

A. IDENTITY DETAILS

1.	Name of the Applicant	
2.	Father's /Husband's Name	
3.	a) Gender ☐ Male ☐ Female	**b) Marital Status** ☐ Single ☐ Married c) Date of Birth D D M M Y Y Y Y
4.	a) Nationality ☐ Indian ☐ Others (Please specify ________________)	
	b) Status ☐ Resident Individual ☐ Non Resident ☐ Foreign National	
5.	a) PAN	b) Aadhaar Number, if any
6.	Specify the proof of identity submitted ☐ PAN Card ☐ Any other (Please specif y________________)	

B. ADDRESS DETAILS

1.	Residence/Correspondence Address	☐ Correspondence Address		☐ Residence Address	
		City/Town/Village		PIN Code	
		State		Country	
2.	Specify the proof of address submitted for Residence/correspondence address				
3.	Contact Details	Tel. (off.)	Tel. (Res.)	Fax No	
		Mobile No.	E-mali ID		
4.	Permanent Address (If different from above. Mandatory for Non-Resident Applicant to specify overseas address)				
		City/Town/Village		PIN Code	
		State		Country	

C. DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Signature of the Applicant	✓
Date	D D M M Y Y Y Y

FOR OFFICE USE ONLY

S No.	Particulars	
1	☐ Originals verified and Self-Attested Documents copies received	
2	In-Person-Verification (IPV) details:	
	a) Name of the person doing IPV	
	b) Designation	
	c) Name of Organization	Zuari Finserv Limited
	d) Signature	
	e) Date	D D M M Y Y Y Y
Name & Signature of the Authorised Signatory of ZUARI FINSERV LIMITED		Seal/Stamp of the
Date		D D M M Y Y Y Y

* Seperate KYC Application forms must be filled by each applicant i.e. (2nd Holder, 3rd Holder & Guardian)

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM (For Trading and Demat Account)

A. IMPORTANT POINTS

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI)

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA)

List of documents admissible as Proof Address:

(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy/Unique Identification Number (UID) (Aadhaar Letter).
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Schedulded Commercial Banks/Gazetted Officer/Notary. public/Elected representives to the Legislative, Assembly/ Parliment Documents issued by any govt. or Statutory Authorities.
6. Identity card/ document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.
9. Aadhar Letter issued by UIDAI.

D. Exemptions/Clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.